

PTO/SB/21 (09-04) Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL	Application Number	09/762,380
	Filing Date	February 7, 2001
FORM (to be used for all correspondence after initial filing)	First Named Inventor	Yoshitaka YAGUCHI, et al.
	Art Unit	2616
	Examiner Name	James A. Fletcher
Total Number of Pages in This Submission 5 + JP OA + 3 references	Attorney Docket No.	MTS-3243US

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	esponse to Missing Parts nder 37 CFR 1.52 or 1.53	Rema	arks:		
	SIGNATURI	OF A	APPLICANT, ATTORNEY OR	AGENT	
Firm Name	RatnerPrestia				
Signature	Danil N. Cal	Je.			
Printed Name	Daniel N. Calder				
Date	July 20, 2005		Reg. No.	2	7,424
	CERTIFI	CATE	OF TRANSMISSION/MAI	LING	

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Signature July 20, 2005 Typed or Printed Name Fran Petrillo Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

MTS-3243US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/762,380

Applicants:

Yoshitaka YAGUCHI, et al.

Filed:

February 7, 2001

Title:

RECORDING AND REPRODUCING APPARATUS, MPEG

IMAGE STREAM RECORDING AND REPRODUCING APPARATUS

AND MEDIUM (AS AMENDED)

TC/A.U.:

2616

Examiner:

James A. Fletcher

Confirmation No.: 3996

Docket No.:

MTS-3243US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 08-235832
- 2) 09-214889

Reference 1) has an English abstract and a partial English translation attached to the reference submitted herewith.

PATENT MTS-3243US

Reference 2) has a counterpart English language patent attached.

Further, References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Japanese Official Action is submitted herewith. Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed within three months of the filing date of an RCE application and before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder, Reg. 27,424

· N. Calda

Attorney for Applicants

DNC/fp

Enclosures:

PTO Form 1449

(#3) References

Japanese Official Action dated April 26, 2005

Transmittal Form

Dated:

July 20, 2005

charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

The Commissioner for Patents is hereby authorized to I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:,

July 20, 2005

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PTO/SB/08a (08-03) (AW 10/2003) Approved for use through 7/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	
Application Number	09/762,380	
Filing Date	February 7, 2001	
First Named Inventor	Yoshitaka YAGUCHI, et al.	
Art Unit	2616	
Examiner Name	James A. Fletcher	
Attorney Docket No.	MTS-3243US	

		SHEET	1 of 2	Attorne	ey Docket No.	MTS-3	243US		
			U.S. PATI	NT D	OCUMENTS				
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Examiner Cite Initials* No.1		Number - Kind Code ^{2 (if known)}	Publication (MM-DD-Y)				Relevant Passages or Releva Figures Appear		
		US 6,292,621 B1 (with counterpart JP 09-214889)	09/18/01		Tanaka et a	al.			
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] J	Cite	Foreign Patent Docum		Publication Date		Name of Patentee or Applicant of Cited		Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Country Code ³ - Number ⁴ - Kind	umber ⁴ - Kind Code ⁵ (If known)		(MM-DD-YYYY)		Document	Figures Appear	₹
		JP 09-214889 (with counterpart US 6,292,621 B1)			08/15/97				
	JP 08-235832 (with English partial English translation)	abstract and	bstract and 09/13/		HITACHI LTD				
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Examiner Signature							Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

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PTO/SB/08B (AW 4/25/03) (04-03)

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Substitute Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known				
Application Number	09/762,380				
Filing Date	February 7, 2001				
First Named Inventor	Yoshitaka YAGUCHI, et al.				
Art Unit	2616				
Examiner Name	James A. Fletcher				
Attorney Docket No.	MTS-3243US				

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
-		Japanese Official Action for Japanese Patent Application No. 2000-169700, dated April 26, 2005.	
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Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

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